28/14 PATENT



Case Docket No. ASMEX.328A

Date: August 19, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Michael A. Todd

Appl. No.

10/074,633

Filed

February 11, 2002

For

DEPOSITION OVER MIXED

SUBSTRATES

Examiner

Long Pham

Group Art Unit:

2814

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 19, 2003

(Date)

Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eleven (11) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Joseph J. Mallon

Registration No. 39,287

Attorney of Record Customer No. 20,995

(619) 235-8550

ocket No.: ASMEX.328A

Customer No. 20,995

INFORMATION DISCLOSURE STATEMENT

pplicant

: Michael A. Todd

App. No.

: 10/074,633

Filed

: February 11, 2002

For

DEPOSITION OVER MIXED

SUBSTRATES

Examiner

Long Pham

Group Art Unit

2814

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

8 19 03

Joseph J. Mallon

Registration No. 39,287

Attorney of Record

Customer No. 20,995

(619) 235-8550

SH	IFFT	1 (٦F ·

					SHEET 1 OF
	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ASMEX.328A	APPLICATION NO. 10/074,633	
8	- 37	DISCLOSURE STATEMENT Y APPLICANT	APPLICANT		
[]	20, 8	L SHEETS IF NECESSARY)	Michael A. Todd	anaur.	
7	ATEM BY RE	· · · · · · · · · · · · · · · · · · ·	FILING DATE February 11, 2002	GROUP 2814	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1.	5,214,002	05/25/93	Hayashi et al.			
2.	5,356,821	10/18/94	Naruse et al.			
3.	5,471,330	11/28/95	Sarma			
4.	6,103,600	08/15/00	Ueda et al.			<u>-</u>

FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	МО
5.	11317530	16-11-99	Japan (abstract)			Х	
6.	EP 0368651 A2	16-05-90				····	<u> </u>
7.	EP 0486047 A2	20-05-92					
8.	EP 0747974 A2	11-12-96					
9.	EP 1065728 A2	03-01-01					
10.	GB 2332564 A	23-06-99	United Kingdom				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
11.	Olivares, J. et al.; "Solid-phase crystallization of amorphous SiGe films deposited by LPCVD on SiO ₂ and glass," Thin Solid Films 337 (1999) pp. 51-54.

S:\DOCS\JOM\JOM-5155.DOC:081903

ſ		
ı	EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.